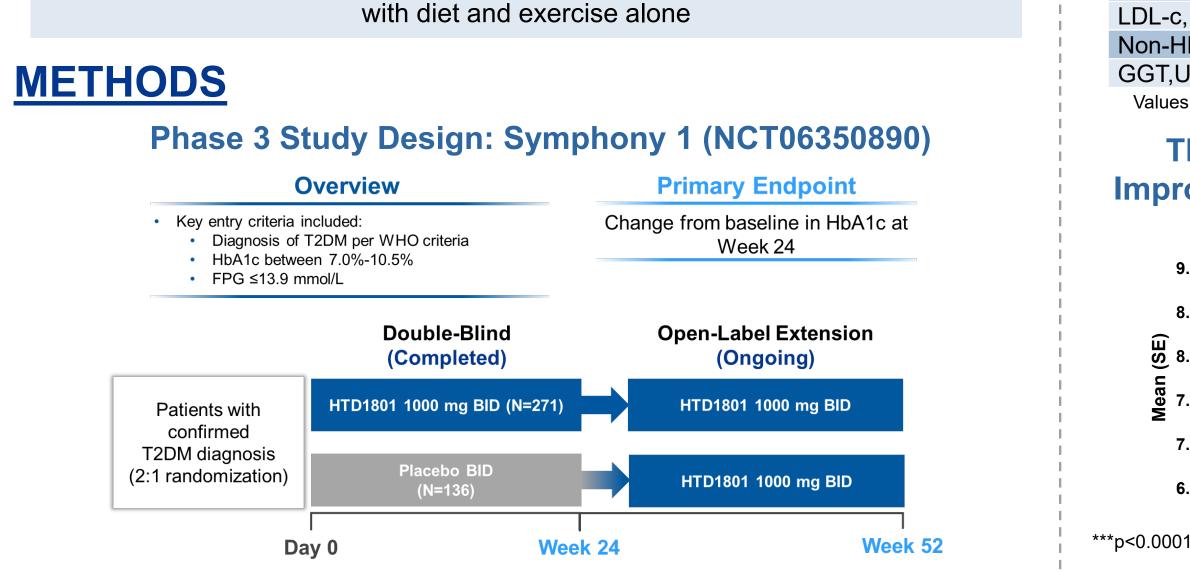
Symphony 1: A Randomized, Placebo-Controlled Phase 3 Study of Berberine Ursodeoxycholate (HTD1801) as Monotherapy in Patients with Type 2 Diabetes

BACKGROUND

- HTD1801 is a first-in-class gut liver anti-inflammatory metabolic modulator that activates AMPK and inhibits the NLRP3 inflammasome
- These pathways converge to increase fatty acid oxidation and glucose utilization while reducing insulin resistance and chronic inflammation
- In a Phase 2 study in patients with type 2 diabetes (T2DM), HTD1801 resulted in significant improvements in key glycemic and cardiometabolic parameters and markers of inflammation¹

The objective of this Phase 3 study was to evaluate the efficacy and safety of HTD1801 compared to placebo in patients with T2DM inadequately controlled with diet and exercise alone



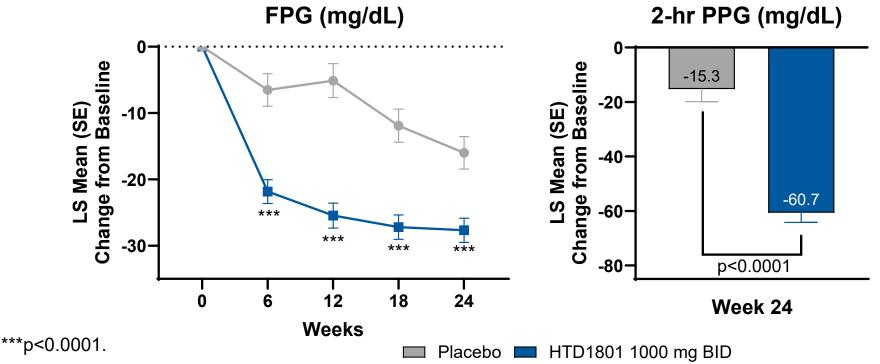
- A randomized, double-blind, placebo-controlled study conducted at 56 sites in China
- Randomization stratification factors were hs-CRP ($\leq 3 \text{ mg/L}$ and $\geq 3 \text{ mg/L}$) and HbA1c (<8.5% and ≥8.5%)

Primary Endpoint

p-values are based on a MMRM analysis with the response variable as the dependent variable; treatment group, measurement time point, interaction term between treatment group and measurement time point, and hs-CRP as independent variables; and the patient's baseline value as the covariate

Secondary Endpoints

- Multiplicity considerations: type 1 error in secondary efficacy measures was controlled using a sequential gatekeeping strategy
- Continuous variables were analyzed similarly to the primary analysis with randomization stratification factors as independent variables
- Dichotomous endpoints were analyzed with a CMH model using the randomization stratification factors in the model



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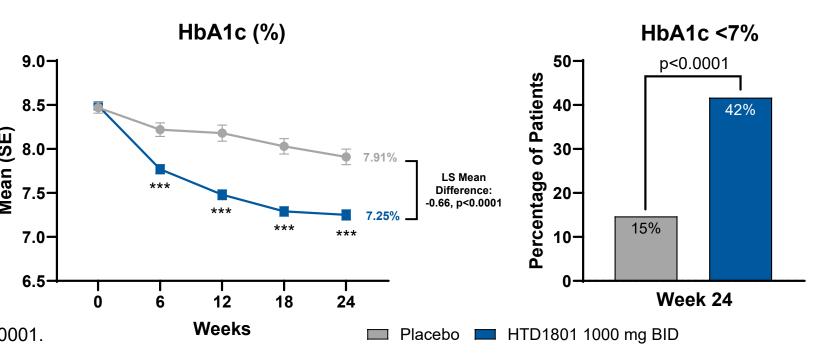
RESULTS

Demographics and Baseline Characteristics

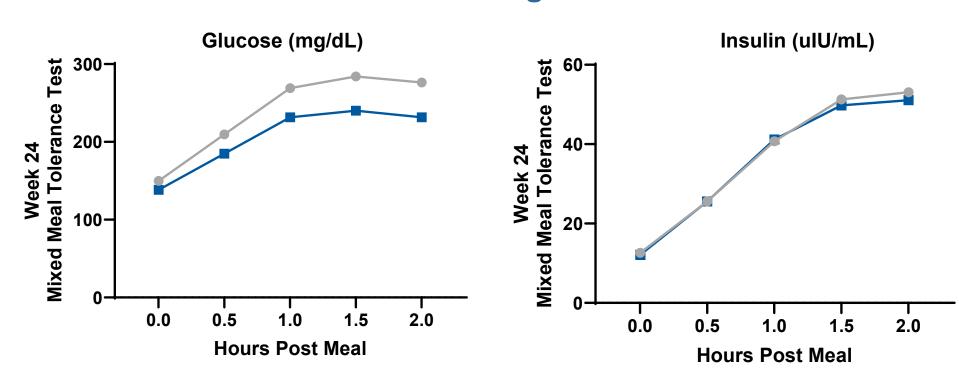
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	Placebo	HTD1801 1000 mg BID	
	(N=136)	(N=271)	
Age, years	55.1 (10.5)	54.5 (10.3)	
Female, n (%)	57 (42)	106 (39)	
Asian, n (%)	136 (100)	271 (100)	
Weight, kg	69.3 (11.0)	71.2 (12.0)	
Diabetes duration, years	3.0 (3.4)	2.9 (2.9)	
HbA1c, %	8.5 (0.7)	8.5 (0.8)	
Fasting glucose, mg/dL	163.4 (27.5)	167.9 (34.3)	
2h-PPG, mg/dL	292.0 (48.6)	294.6 (57.9)	
hs-CRP, mg/L	1.8 (2.0)	2.4 (7.0)	
LDL-c, mg/dL	113.7 (33.9)	112.1 (33.3)	
Non-HDL-c, mg/dL	142.1 (36.7)	142.5 (36.1)	
GGT,U/L	34.2 (28.9)	33.6 (25.4)	
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Values are Mean (SD) unless otherwise indicated

The Primary Endpoint Was Achieved with Significant Improvement in HbA1c with HTD1801 Compared to Placebo

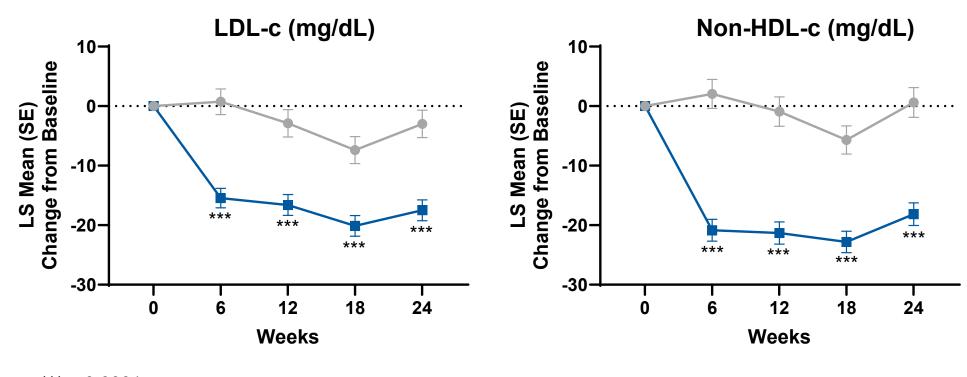


HTD1801 Effectively Lowered Postprandial Glucose Without Increasing Insulin



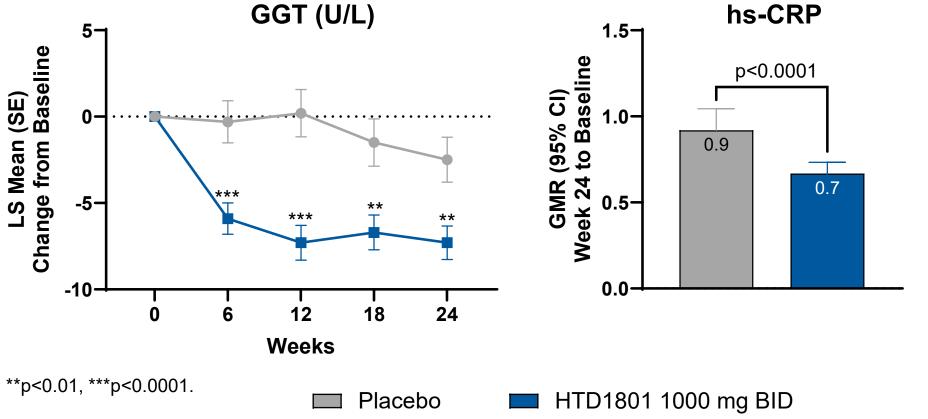
Based on an ANCOVA analysis - Placebo - HTD1801 1000 mg BID

Significant Reductions in LDL-c and non-HDL-c were Observed with HTD1801 Compared to Placebo



***p<0.0001.

Significant Improvements in Markers of Inflammation were Observed with HTD1801 Compared to Placebo^{2,3}



Significant reductions in HbA1c were observed as early as Week 6 with HTD1801 and continued to improve through Week 24

• At Week 24, nearly 3x as many patients achieved HbA1c less than 7% with HTD1801 compared to placebo

Significant Improvements in Fasting and Postprandial Glucose were Observed with HTD1801 Compared to Placebo

→ Placebo → HTD1801 1000 mg BID

HTD1801 was Safe and Generally Well Tolerated

- 10% and 20% of TEAEs were deemed related to placebo or HTD1801
- One HTD1801-treated patient experienced a mild TEAE of hypoglycemia
- SAEs were infrequent with a greater incidence with placebo (5.9%) versus HTD1801 (2.6%)*
- No deaths occurred in this studv

*SAEs occurred in the following SOC: Cardiac disorders (3 [0.7%]); Infections and infestations (3 [0.7%]); Nervous System disorders (3 [0.7%]); Injury, poisoning and procedural complications (2 [0.5%]); Ear and labyrinth disorders (1 [0.2%]); and Musculoskeletal and connective tissue disorders (1 [0.2%]).

SUMMARY

- compared to placebo
- Reductions in HbA1c were paralleled by significant improvements in both FPG and PPG
- Improvements in PPG are likely through improved insulin sensitivity rather than increases in insulin
- Beyond glucose control, significant improvements in lipid metabolism and inflammatory parameters were also observed, demonstrating the broad metabolic benefits of HTD1801
- HTD1801 was found to be safe and generally well tolerated
- The open-label extension phase of Symphony 1 is ongoing to confirm the longer-term benefits and safety of HTD1801

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TEAEs Occurring in ≥5% of Patients

Preferred Term, n (%)	Placebo (N=136)	HTD1801 1000 mg BID (N=271)
Any TEAE	91 (66.9)	203 (74.9)
Diarrhea	1 (0.7)	25 (9.2)
Hyperlipidemia	12 (8.8)	23 (8.5)
Sinus bradycardia	9 (6.6)	19 (7.0)
Nephrolithiasis	11 (8.1)	17 (6.3)
Hyperuricemia	7 (5.1)	17 (6.3)
Upper respiratory tract infection	7 (5.1)	17 (6.3)
Hypertriglyceridemia	10 (7.4)	12 (4.4)
Urinary tract infection	9 (6.6)	10 (3.7)

The primary endpoint was achieved with HTD1801-treated patients achieving a statistically significant and clinically meaningful improvement in HbA1c

References

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